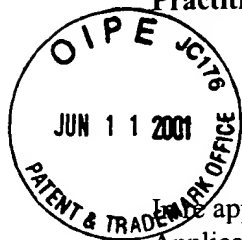


Practitioner's Docket No. 5699-31

*\$-sector*  
PATENT *#3*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of: Forbes, James W.

Application No.: 09/804,050

Group No.: 3617

Filed: March 12, 2001

Examiner: Unknown

For: DROPPED DECK CENTER BEAM RAIL ROAD CAR

**Box Missing Part**

**Assistant Commissioner for Patents**

**Washington, D.C. 20231**

**COMPLETION OF FILING REQUIREMENTS  
-- NONPROVISIONAL APPLICATION**

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed April 10, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

**DECLARATION OR OATH**

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**

☒

deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date:

*6/7/01*

**FACSIMILE**

☐

transmitted by facsimile to the Patent and Trademark Office at (703) \_\_\_\_ - \_\_\_\_.

*Stephen L. Grant*  
\_\_\_\_\_  
Signature

**Stephen L. Grant**

(type or print name of person certifying)

## **COMPLETION FEES**

### **III.**

#### **1. Filing Fee**

|  |          |
|--|----------|
| Original patent application<br>(37 C.F.R. Section 1.16(a)) | \$710.00 |
|--|----------|

#### **2. Fees for Claims**

|  |         |
|--|---------|
| Each independent claim in excess of 3<br>(37 C.F.R. Section 1.16(b)) | \$80.00 |
|--|---------|

|   |          |
|---|----------|
| Each claim in excess of 20<br>(37 C.F.R. Section 1.16(c)) | \$504.00 |
|---|----------|

#### **3. Surcharge Fees**

|  |          |
|--|----------|
| Late payment of filing fee<br>and/or late filing of original<br>declaration or oath<br>(37 C.F.R. Section 1.16(e)) | \$130.00 |
|--|----------|

|   |         |
|---|---------|
| 4. Assignment (See "ASSIGNMENT<br>COVER SHEET") | \$40.00 |
|---|---------|

|                       |            |
|-----------------------|------------|
| Total Completion Fees | \$1,464.00 |
|-----------------------|------------|

## **EXTENSION OF TIME**

**IV.** The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

#### **TOTAL FEE DUE**

V. The total fee due is:

|                        |            |
|------------------------|------------|
| Completion fees        | \$1,464.00 |
| Extension fee (if any) | \$0.00     |
| Total Fee Due          | \$1,464.00 |

#### **PAYMENT OF FEES**

VI. Enclosed is a check in the amount of 1,464.00.

Please charge Account No. 15-0450 for any fees that may be due by this paper.

#### **AUTHORIZATION TO CHARGE ADDITIONAL FEES**

VII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 15-0450.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims) 37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

Date: 6/7/01

Reg. No.: 33,390  
Tel. No.: 330-864-5550  
Customer No.: 021324

Stephen L. Grant  
Signature of Practitioner  
Stephen L. Grant  
Oldham & Oldham Co., L.P.A.  
Twin Oaks Estate  
1225 West Market Street  
Akron, OH 44313-7188  
USA



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/804,050         | 03/12/2001          | James W. Forbes       | 5699-31                |

021324  
OLDHAM & OLDHAM CO  
TWIN OAKS ESTATE  
1225 W MARKET STREET  
AKRON, OH 44313

CONFIRMATION NO. 7515

## FORMALITIES LETTER



\*OC000000005952891\*

Date Mailed: 04/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$584.
  - \$504 for 28 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1424.

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/804050  
00000109 09804050  
02/12/2001 HVUNG1  
01 FC:101  
02 FC:105  
03 FC:103  
04 FC:102  
710.00  
130.00  
504.00  
80.00

